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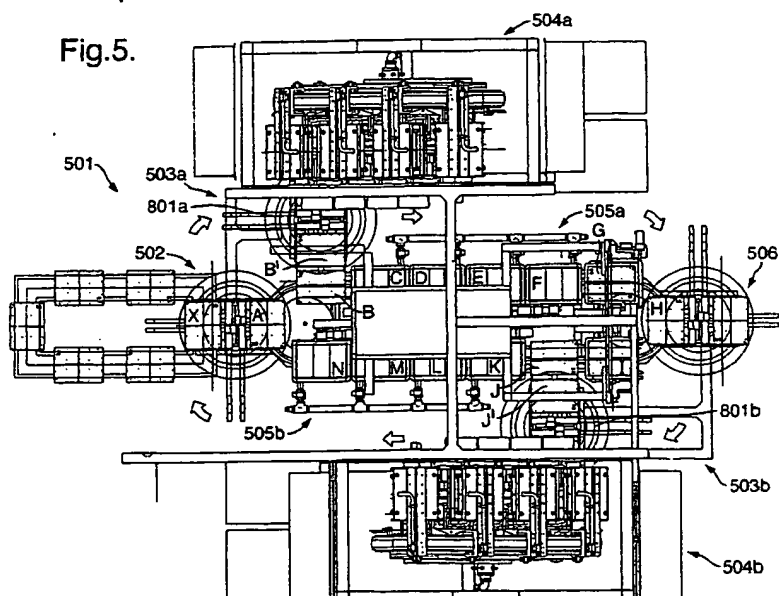
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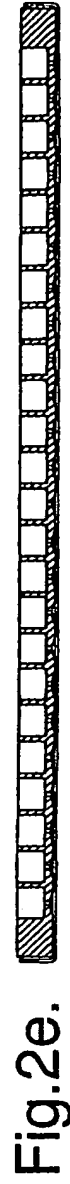
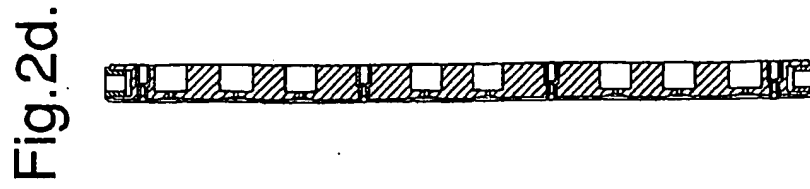
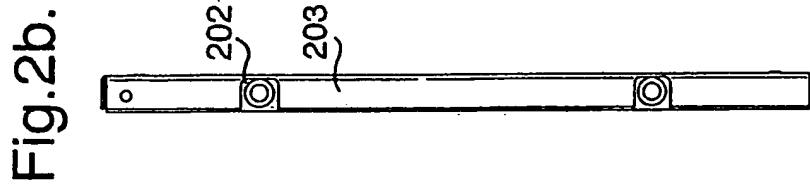
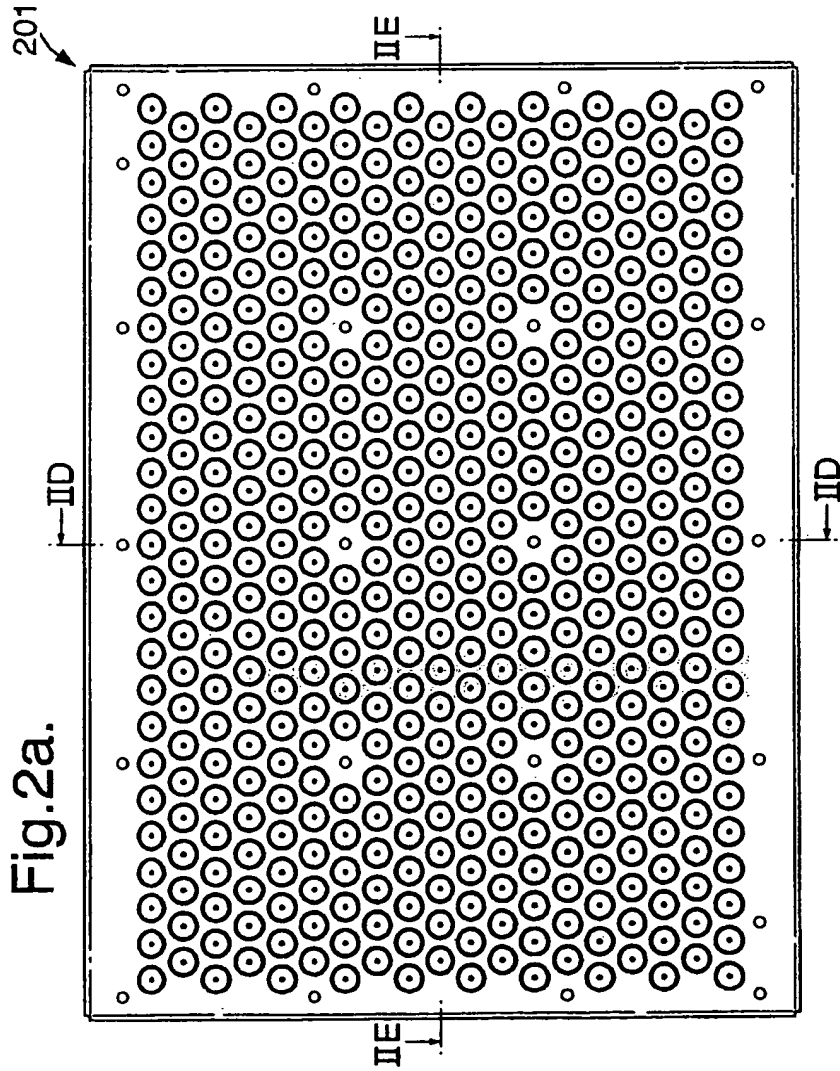
(54) Abstract Title: **Method and apparatus for the application of powder material to substrates**

(57) There is provided an apparatus and method for electrostatically applying a powder material to substrates (101 figure 1). The apparatus includes a plurality of platens (201 figure 2), each platen (201) being arranged to hold a plurality of substrates (101), a conveyor for conveying the platens along a path and an applicator for applying the powder material to the substrates. The method includes the steps of placing the substrates (101) on platens (201), each platen holding a plurality of substrates, conveying the platens in series along a path and electrostatically applying a powder material to the substrates held on the platens. There is also provided a platen (201) for holding a plurality of substrates to which powder material is to be electrostatically applied, the platen comprising a platen base (202 figure 2) having a plurality of supports for supporting a plurality of substrates and an electrically conducting platen shield (203 figure 2) located on the platen base (202) and having a plurality of holes arranged to align with the plurality of supports on the platen base.



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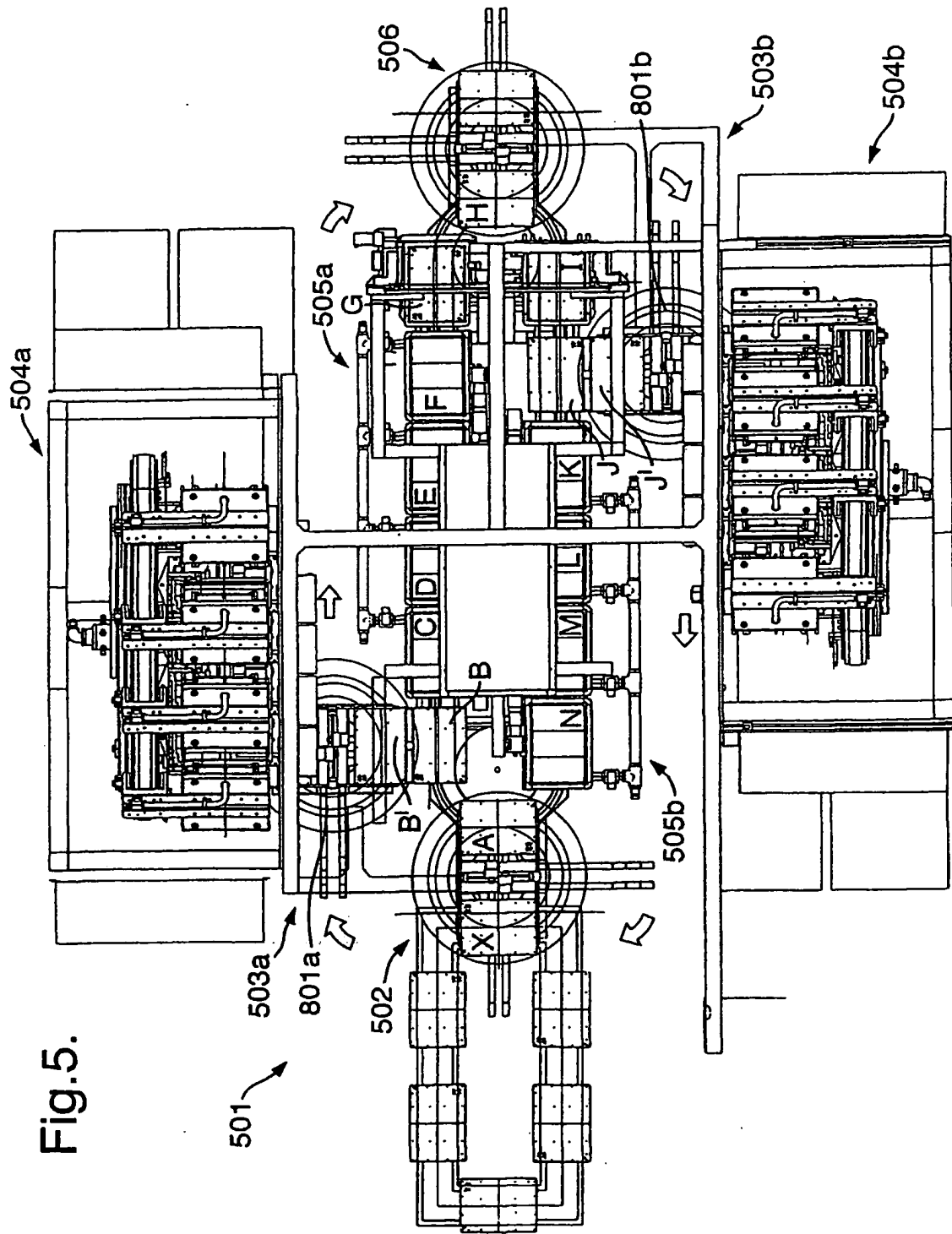


Fig. 5.

Fig.7.

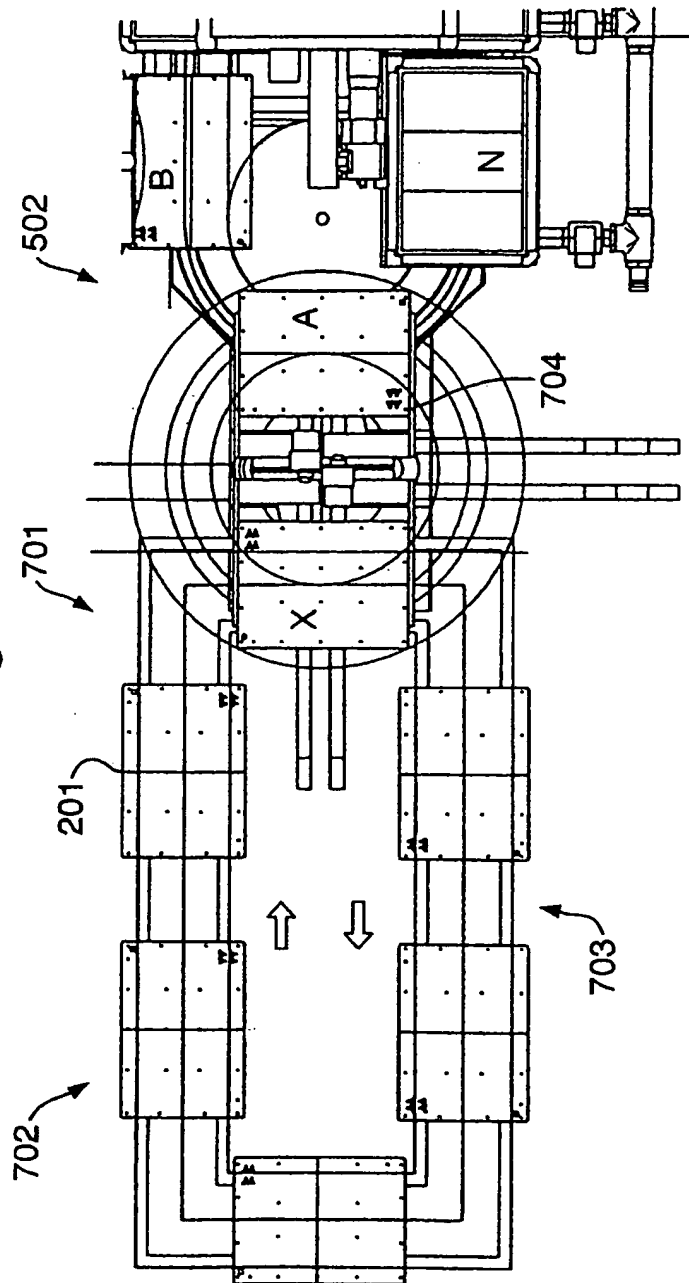


Fig.8b.

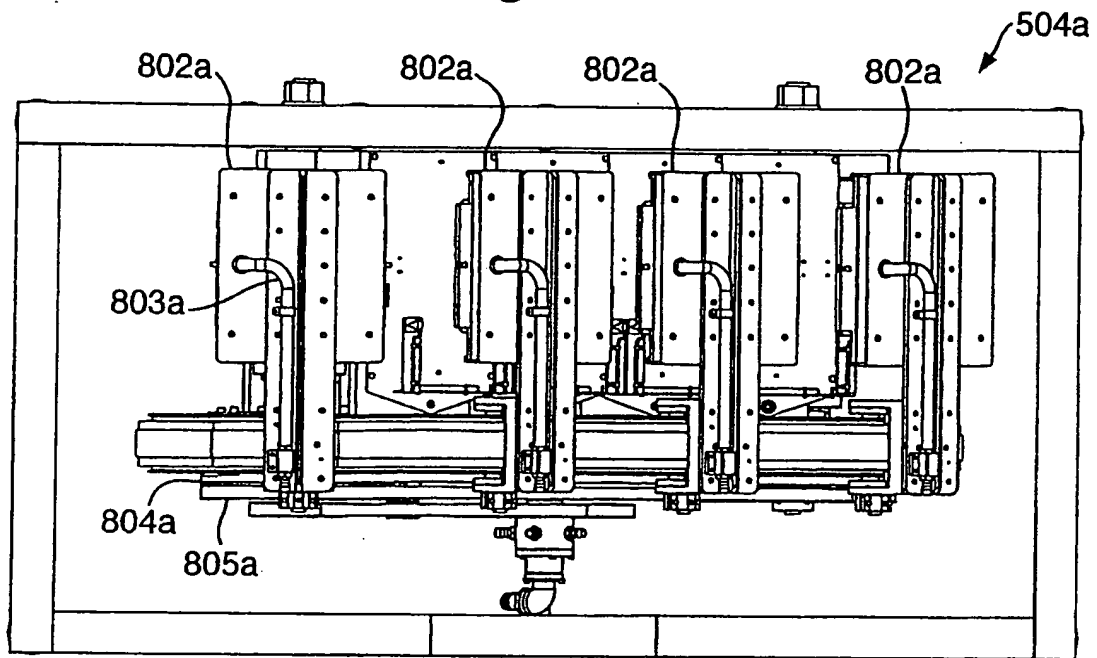


Fig.11a.

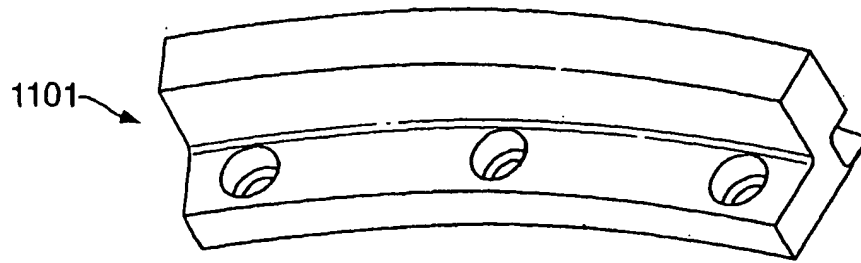


Fig.11b.

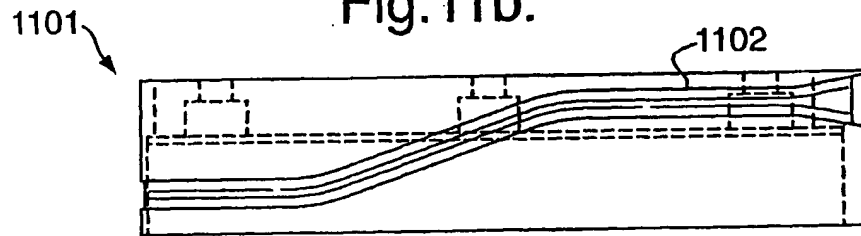


Fig.12.

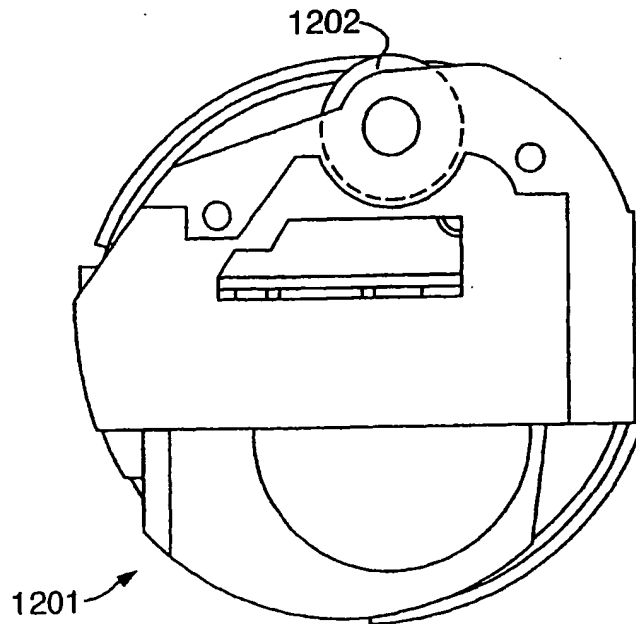


Fig.14.

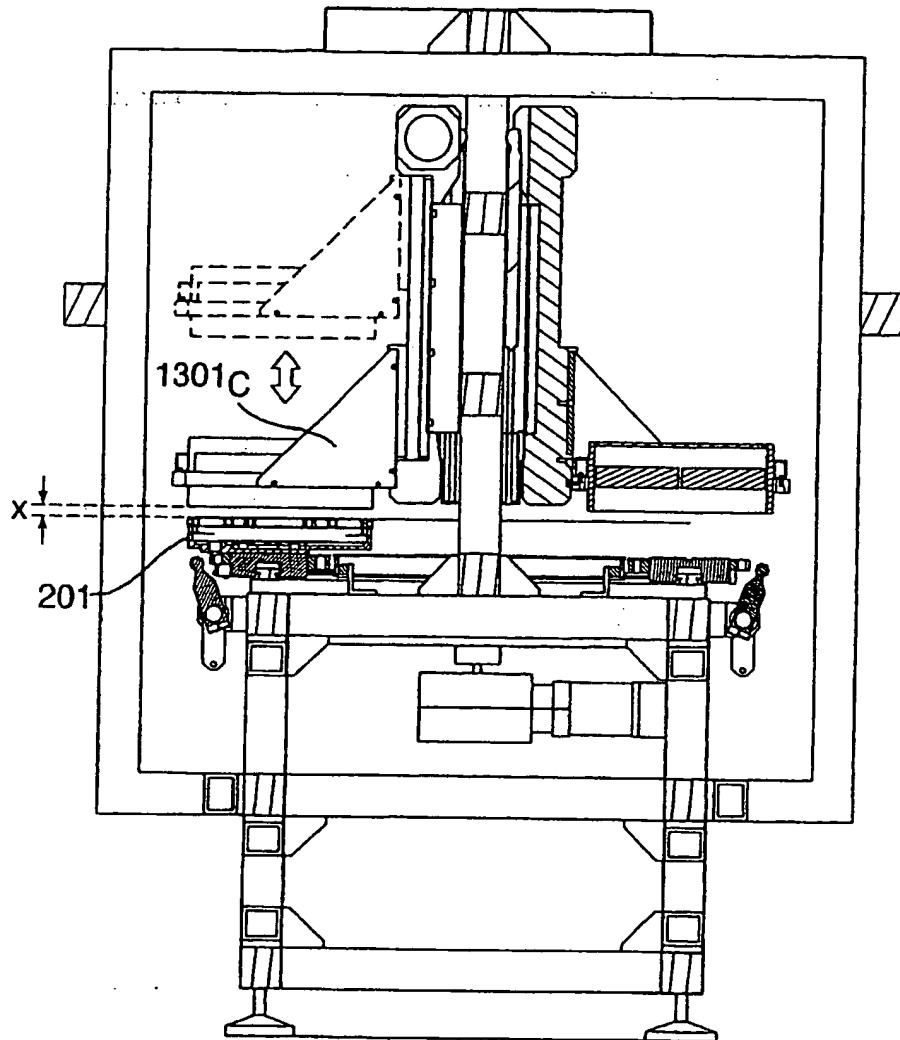
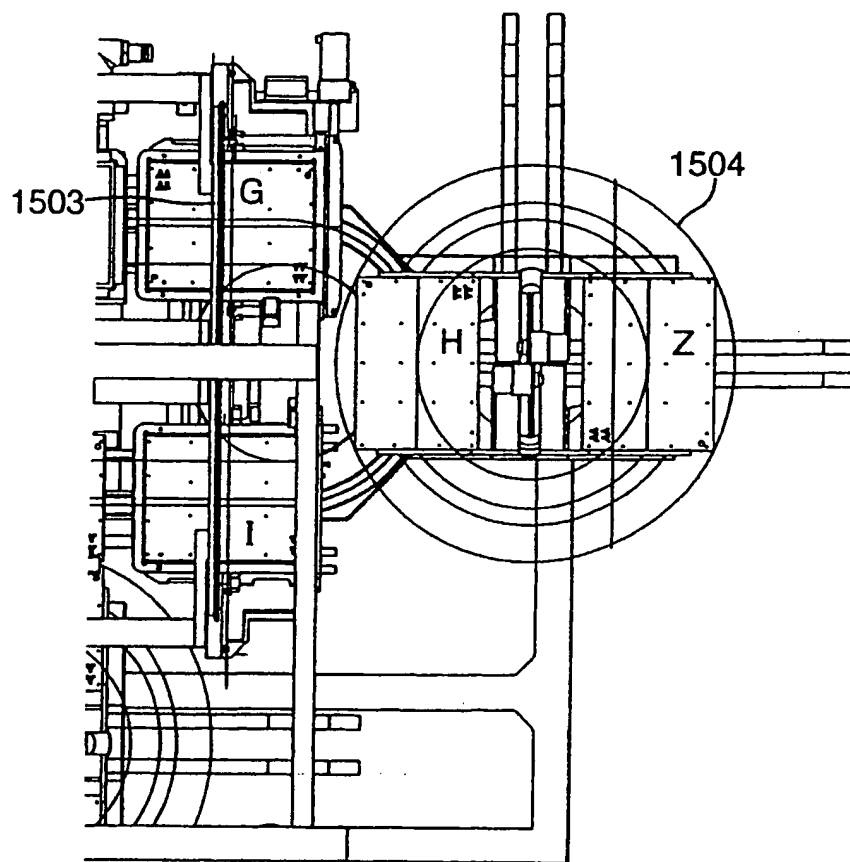


Fig.16.





When coating solid dosage forms electrostatically with powder it is desirable to position each solid dosage form appropriately in relation to the powder applicator and that requires individual handling of each solid dosage form. Also, if powder is to be applied to opposite faces of the solid dosage form while it is held in a  
5 desired position it becomes desirable to be able to turn over the solid dosage form during the handling of it. On a laboratory scale, such handling of the solid dosage forms presents little problem, but if it is desired to apply powder to solid dosage forms at a reasonably high rate, as required for industrial production, the handling of the solid dosage forms becomes a problem.

10

In WO 96/35516 solid dosage forms are held on a first rotary drum for coating a face of the solid dosage form and are then transferred onto a second rotary drum for coating an opposite face. Such a method has proved workable but there are losses in production, especially in connection with the loading and unloading of  
15 solid dosage forms onto and from the drums, and the transfer of solid dosage forms from one drum to another. There is also a limit to the path length (the circumference of the drum) available for treatment of a face of the solid dosage form and the system is not particularly flexible and cannot therefore easily be adapted from a set up for treating one solid dosage form according to one set of  
20 requirements to a set up for treating another solid dosage form according to another set of requirements.

bringing and removing of the platens preferably being carried out at a common location along the path.

5 Preferably, the method further includes the steps of removing the platens from the path at at least one treatment station, treating the substrates held by the platens and returning the platens to the path. By conveying the platens in series along a path but removing them from the path at the at least one treatment station, treating the substrates at the station and thereafter returning the platens to the path to be conveyed further along the path, it becomes possible to carry  
10 out the method in a simple way and in a compact space. It is also possible to alter the method and apparatus to allow for a different powder application process and/or a different substrate by altering only a relatively small part of the apparatus.

15 Where reference is made to a substrate being "on" a platen or where reference is made later to a substrate being "on" a face of a platen, it should be understood that it is within the scope of such a description for the substrate to be housed partly or wholly within a recess in the platen so that it does not necessarily wholly or partly project from the platen.

20

It will be understood that because the platens are conveyed in series, steps of the method are typically applied only to some, or one, of the platens in turn. For example, substrates may be being placed on one platen while another platen is

The method preferably further includes the step of fusing the powder material after it is electrostatically applied. Whilst the fusing can be carried out at the at least one treatment station, the step of fusing the powder material preferably takes place after the platens are returned to the path.

5

After the step of conveying the platens in series along a path, the method preferably includes the steps of

removing the platens from the path at a first treatment station, applying powder material electrostatically to first faces of the substrates, and returning the  
10 platens to the path;

conveying the returned platens further along the path;

removing the platens from the path at a second treatment station, applying powder material electrostatically to second faces of the substrates, and returning the platens to the path; and

15 conveying the platens returned from the second treatment station still further along the path.

By adopting such a method, opposite faces of the substrate may be coated in the method. The method may further include the step of fusing the powder material  
20 after it is electrostatically applied. A first fusing step may take place when the returned platens are conveyed further along the path and prior to removing the platens from the path at the second treatment station, and a second fusing step

Whilst it is within the scope of the invention for the platens to be conveyed from one end to the other of a path, it is preferred that they are conveyed around an endless path. Preferably the method further includes the steps of bringing platens to the path along which they are to be conveyed and removing platens  
5 from the path along which they have been conveyed, the bringing and removing of the platens being carried out at a common location along the path. In the case where the platens move around the path in a series of steps, it is preferred that the bringing and removing of the platens is carried out when the platens are stationary.

10

Preferably the method further includes, after the step of removing the platens from the path at the at least one treatment station and prior to the step of returning the platens to the path, the following steps:

- operatively coupling the platens to a first drive mechanism and  
15 transporting the platens by driving the first drive mechanism,
- decoupling the platens from the first drive mechanism, operatively coupling the platens to a second drive mechanism and transporting the platens by driving the second drive mechanism, and
- decoupling the platens from the second drive mechanism.

20

We have found that by providing two drive mechanisms it becomes possible to arrange in a simple fashion for the platens to be driven at different speeds in

one treatment station and returning the platens to the path take place during the third phase of driving of the other of the first and second drive mechanisms.

In a preferred embodiment of the invention described below, the method

- 5 includes, after the step of removing the platens from the path at the at least one treatment station and prior to the step of returning the platens to the path, the following steps:

operatively coupling the platens to the second drive mechanism while it is driving at zero speed,

- 10 driving the platens with the second drive mechanism at a speed  $v$ ,  
decoupling the platens from the second drive mechanism, operatively coupling the platens to the first drive mechanism and continuing to drive the platens at the speed  $v$ ,

- decoupling the platens from the first drive mechanism, operatively  
15 coupling the platens to the second drive mechanism and continuing to drive the platens at the speed  $v$ ,

driving the platens with the second drive mechanism at a speed  $u$ , greater than  $v$ ,

- driving the platens at zero speed with the second drive mechanism and  
20 decoupling the platens from the second drive mechanism.

The method preferably includes the following steps:

platens is preferably carried out by arcuate movement of the first and second platens around approximately half a revolution. The arcuate movement may be movement around a substantially horizontal axis.

- 5 The step of releasing the plurality of substrates from the first platen preferably includes vibrating the first platen. Such vibration is of assistance in the event that any of the substrates are inclined to adhere to the first platen. There can also be advantage in vibrating the second platen since that can help the substrates to settle in correct positions on the second platen. Therefore, the steps of releasing
- 10 the plurality of substrates from the first platen and holding the released substrates on the face of the second platen preferably includes vibrating the first and second platens in unison.

- The first and second platens may be substantially the same. In that case powder
- 15 can be electrostatically applied to opposite faces of the substrates in the same way and substantially the same coating obtained on the opposite faces (which may join along a middle line of the substrate to provide a completely coated substrate). Alternatively the first and second platens may differ and the positions of the substrates on the second platen may differ from the positions of the
- 20 substrates on the first platen. In the latter case coatings having different characteristics can be applied to opposite faces of the substrates. For example, the coating to one face may extend also over all or part of the side walls of a substrate while the coating to the other face may be limited to that face alone.

In one embodiment, the fusing is carried out with IR radiation of wavelength in the range of from 3-6 $\mu$ m.

By the use of such radiation it is possible to fuse the coating in an especially efficient manner without adversely affecting the active material present; that is, the fusible material in the coating is heated preferentially and this benefit can outweigh the potential disadvantages of using such a relatively high wavelength of radiation.

The 3-6 $\mu$ m range corresponds to the carbonyl region in the IR. When, as is advantageous, the fusible material for the powder coating contains carbonyl, whether as a CO group on its own or as part of a moiety, e.g. in an ester, irradiation in the 3-6 $\mu$ m region facilitates heating and melting of the fusible material. Further, use of wavelengths in the 3-6 $\mu$ m range for relatively short periods leads to preferential heating of the fusible coating material, rather than the substrate itself. The use of radiation in the 3-5 $\mu$ m range should especially be mentioned.

The radiation used may, for example, span the specified region and may be substantially confined to that region, or may span a portion thereof, with no substantial radiation being used outside the region. If desired, however, the peak radiation may fall in the 3-6 $\mu$ m region, with a proportion of the radiation falling outside the region. The use of a wavelength band with substantially no

thereby serving to retain them, or assist in retaining them, on the platens. Such a retention effect is especially valuable if it is desired to support the substrates with the platens above the substrates, as may be desirable during the electrostatic application of powder material to the substrates. A flow of air through the

5 substrates and into the platens may also be of advantage during fusing of powder applied to the substrates; because it may avoid bubbling of the powder coating as it is fused.

The substrates to which powder material is applied may take various forms: they

10 may be pharmaceutical substrates and/or solid dosage forms; in the case where the substrate is a pharmaceutical solid dosage form, it may especially, but not exclusively, be a tablet core.

According to the first aspect of the invention there is also provided an apparatus

15 for electrostatically applying a powder material to substrates, the apparatus comprising:

a plurality of platens, each platen being arranged to hold a plurality of substrates,

a conveyor for conveying the platens along a path, and

20 an applicator for applying the powder material to the substrates.

Preferably, the apparatus further includes at least one treatment station arranged to remove the platens from the conveyor, to treat the substrates held by the



convey the platens after they are returned from the second apparatus. The or each fusing assembly may comprise a plurality of fusing devices disposed in series along the path. The conveyor may be arranged to convey the platens along a substantially horizontal path. The conveyor may be arranged to convey the platens along an endless path. The apparatus may further include a platen transfer station for introducing platens to the conveyor and removing platens returning to the platen transfer station after they have been conveyed around the path. The platens may be arranged to move a substantial distance vertically at said at least one treatment station. The apparatus may further include a device for positioning an empty second platen with a face of the second platen adjacent to a face of a first platen holding a plurality of substrates on the face of the first platen, for releasing the substrates from the first platen and holding the released substrates on the face of the second platen, and for separating the adjacent faces of the first and second platens. The device may be arranged to position an empty second platen with a lower face of the empty platen adjacent to an exposed upper face of a first platen holding a plurality of substrates on the exposed face, to invert the first and second platens and to remove the first platen from the second platen. The first and second platens may be mounted for arcuate movement along a common path extending around approximately half a revolution. The device may include a vibrator for vibrating the first platen. The device may include a vibrator for vibrating the first and second platens in unison. The first and second platens may be substantially the same or they may differ such that the position of the substrates on the second platen can differ from the

infra-red spectrum of the coating material but, preferably, not present to any significant extent in the infra-red spectrum of the substrate. There may alternatively, or in addition, be provided a device for fusing the powder material after it is electrostatically applied, in which the fusing is carried out with infra-red radiation of wavelength in the range of from 3-6 $\mu$ m. Each platen may comprise a platen base having a plurality of supports for supporting a plurality of substrates, and an electrically conducting platen shield located on the platen base and having a plurality of holes arranged to align with the plurality of supports on the platen base. The base of the platen may be electrically conducting and an insulating coating may be provided between the platen base and the platen shield. The insulating coating may also be provided on holes in the platen shield. This insulating coating may be integral with the platen base and the platen shield. Insulating rings may be located in the holes in the platen shield. The shield may be adjacent to but slightly spaced from the platen base and the spacing of the shield from the platen base may be adjustable. The conveyor may include a plurality of platen supports and the platens may be detachably connectable to the supports. There may be provided at the at least one treatment station a plurality of carriages arranged to travel along a predetermined path and the platens may be detachably connectable to the carriages.

20

The invention as defined above comprises, in some of its preferred forms, certain method steps and certain apparatus which are in themselves novel and inventive.

a series of platens, each platen being arranged to hold a plurality of substrates on a face of the platen,

a conveyor for conveying the platens along a path,

a station for electrostatically applying a powder material to exposed faces

5 of substrates held on platens, and

a transfer device for positioning an empty second platen with a face of the empty platen adjacent to a face of a first platen holding a plurality of substrates on the face of the first platen, for releasing the substrates from the first platen and holding the released substrates on the face of the second platen, and for

10 separating the adjacent faces of the first and second platens.

According to a third aspect of the invention, there is provided a method of electrostatically applying a powder material to a plurality of substrates, the method including, in addition to the electrostatic application of powder material,

15 the steps of

placing the substrate on platens, each platen holding a plurality of substrates,

operatively coupling the platens to a first drive mechanism and transporting the platens by driving the first drive mechanism,

20 decoupling the platens from the first drive mechanism, operatively coupling the platens to a second drive mechanism and transporting the platens by driving the second drive mechanism, and

decoupling the platens from the second drive mechanism.

According to the fourth aspect of the invention, there is also provided a method for fusing a powder coating on a substrate, in which fusing is carried out with infra-red radiation of wavelength in the range of from 3-6 $\mu$ m.

- 5 According to the fourth aspect of the invention, there is also provided an apparatus for fusing powder coating on a substrate, in which the apparatus is arranged to carry out the fusing with infra-red radiation, characterised in that the wavelength of the radiation used corresponds to a significant peak present in the infra-red spectrum of the coating material but not present to any significant extent
- 10 in the infra-red spectrum of the substrate.

- According to the fourth aspect of the invention, there is also provided an apparatus for fusing powder coating on a substrate, in which the apparatus is arranged to carry out the fusing with infra-red radiation of wavelength in the
- 15 range of from 3-6 $\mu$ m

- According to a fifth aspect of the invention, there is provided a platen for holding a plurality of substrates to which powder material is to be electrostatically applied, the platen comprising:
- 20 a platen base having a plurality of supports for supporting a plurality of substrates and

- Figure 3c is a side elevation view of a platen base;
- Figure 3d is a sectional view of the platen base through the line III – III on Figure 3a
- Figure 4 is a perspective view of a platen shield;
- 5 Figure 5 is a plan view of a coating apparatus;
- Figure 6 is a perspective view of the coating apparatus shown in Figure 5;
- Figure 7 is an enlarged view of the entry and exit region of the coating apparatus;
- Figure 8a is an elevation view of the first developing machine;
- 10 Figure 8b is a plan view of the first developing machine;
- Figure 9 is a perspective view of a belt flight;
- Figure 10 is a perspective view of a belt changer;
- Figure 11a is a perspective view of a belt transfer block;
- Figure 11b is a plan view of the belt transfer block of Figure 11a;
- 15 Figure 12 is a sectional view of a developer;
- Figure 13 is a side view of the first fusing region;
- Figure 14 is a sectional view of the first fusing region along the line XIV – XIV in Figure 13;
- Figure 15 is a plan view of the inverting mechanism; and
- 20 Figure 16 is a perspective view of part of the inverting mechanism.

Figure 1 is a perspective view of a solid dosage form 101 which is to be coated in the coating apparatus of the present invention. In this example, the solid dosage

to match the shape of the solid dosage forms to be coated. The depth of the hollows can be chosen such that the height of the solid dosage form 101 sits at a selected height relative to the top surface of the platen base 202. Selection of that height can partly or fully determine how much of the circumferential surface  
5 101 of the solid dosage forms is coated at any one time, as explained further below with reference to Figures 15 and 16.

Figure 3d is a sectional view of the platen base 202 along the line III – III in Figure 3a. In this embodiment, each hollow 304 in the platen base is curved in  
10 cross section in order to match a domed end surface 103 of the solid dosage form 101. The cross section need not be curved and this may depend on the shape of the solid dosage form to be coated. In fact, the cross section need not exactly match the shape of the solid dosage form. For example, the hollows 304 may be conical even with a domed solid dosage form. At the centre of each  
15 hollow 304 is a passageway 305 which connects the hollows 304 via the interior of the platen base to a vacuum supply as will be described in more detail later.

The platen shield 203 is shown in more detail in Figure 4. The platen shield 203 comprises a top surface 401, two end edge surfaces 402 (only one of which can  
20 be seen in Figure 4) and two side edge surfaces 404 (only one of which can be seen in Figure 4). In each end edge surface 402 there are two small recesses 403 and in each side edge surface 404 there is one large recess 405. In the top surface 401, there are a multiplicity of regularly spaced circular holes 406 which

positively charged so that the powder material is repelled from the platen shield 203 and only coats the exposed surfaces of the solid dosage forms. The use of a shield of this type is the subject of our British patent application No 020 1036.1, referred to above.

5

The underside of the platen shield 203 is coated with an insulating coating in order to separate the earthed solid dosage forms 101 and platen base 202 from the positively charged platen shield 203. The insulating coating preferably should not extend onto the top surface 401 or any of the edge surfaces 402, 404 of the  
10 platen shield 203, since this could result in electrostatic charges on the surfaces of the shield which will not dissipate. This could result in the positively charged powder material being attracted onto the platen shield 203. As mentioned above, there is a small gap between the outside of the solid dosage forms and the inside of the holes 406. That gap is typically 100 to 150  $\mu\text{m}$  and, since the solid dosage  
15 form 101 is earthed and the platen shield 203 is positively charged, there is a possibility of sparking across the gap. With this platen design, in order to prevent this, small insulating annular rings (not shown) may be fitted into the holes 406. With other platen designs, the insulation may be integral with the body of the platen.

20

In this particular example the platen is made from titanium. The insulating coating is PEEK (polyetheretherketone) . Alternatives are for the platen to be made of

In the following description of the coating apparatus, it will be understood that a platen of either construction described above may be used, or indeed another construction which has not been described.

- 5 Figure 5 is a plan view of the coating apparatus and Figure 6 is a perspective view of the coating apparatus. The coating apparatus is generally designated 501 and incorporates apparatus for electrostatically applying a powder material to substrates. Each platen 201 carrying solid dosage forms (as previously described with reference to Figures 2 to 4) enters the coating apparatus at the
- 10 entry and exit region 502, proceeds around the apparatus in the direction of the arrows, then exits the apparatus at the entry and exit region 502. The platens do not move smoothly through the apparatus but move from station to station in a number of individual steps. (The stations are labelled A to N in the drawings). In a particular example, a platen 201 moves forward one station every 20 seconds.
- 15 It takes approximately 3 seconds to move the platen from one station to the next so a platen is stationary at a particular station for approximately 17 seconds. With this platen design and speed of operation, the apparatus produces just over 80 000 coated solid dosage forms per hour.
- 20 The entry and exit region is more fully described below with reference to Figure 7. Referring to Figures 5 and 6, after entering the apparatus at station A, the platen 201 of solid dosage forms moves forward to station B and then is moved onto a first developing machine 504a in the region 503a. The activities in the



The entry and exit region 502 is shown in more detail in Figure 7. Empty platens 201 are loaded with solid dosage forms 101 on the entry side 702 of the loading and unloading circuit 701. The loading and unloading circuit 701 moves in the direction of the arrows. The loading of the platen 201 with solid dosage forms is most likely performed automatically but may, of course, be performed manually. The platens are checked (either automatically or manually) to ensure that all the hollows 304 are filled with a solid dosage form 101 and that the solid dosage forms 101 are properly positioned in the hollows 304. More detail is given later on the effect when one or more of the hollows 304 are inadvertently not filled with a solid dosage form. A platen filled with solid dosage forms then moves to station X at the same time as a platen filled with coated solid dosage forms (which has already passed through the entire coating apparatus) moves to station A. The transfer table 704 then rotates through half a revolution to move the platen filled with uncoated solid dosage forms onto station A of the machine 501 and the platen filled with coated solid dosage forms onto station X of the circuit 701. The platen filled with coated solid dosage forms then moves through the exit region 703 of the circuit 701, where the coated solid dosage forms are unloaded from the platen 201. The unloading of the platen 201 is most likely performed automatically but may, of course, be performed manually. The empty platen 201 can then be reloaded with solid dosage forms 101 in the entry region 702 ready for passing through the coating apparatus again. The machinery for loading the platens with solid dosage forms and for unloading the solid dosage forms from

through the first developing machine 504a) moves to position  $Y_a'$  (not shown) of the first developing machine. The transfer table 801a then rotates to move the platen filled with uncoated solid dosage forms to position  $Y_a'$  of the developing machine 504a and the platen filled with half coated solid dosage forms onto  
5 position B' of the tablet coating apparatus 501. The platen filled with half coated solid dosage forms then moves laterally to station B while the platen filled with uncoated solid dosage forms moves laterally to station  $Y_a$  in the developing machine. The platen filled with half coated solid dosage forms is then ready to move to station C for fusing whilst the platen filled with uncoated solid dosage  
10 forms is ready to move through the developing machine 504a.

At station  $Y_a$ , the platen is mechanically secured to a frame by movably mounted projections on the frame which are appropriately located to be movable into the holes 301, 302 and 303 on the platen to lock the platen to the frame. The frame  
15 in turn is permanently secured to and forms part of a carriage which is mounted for movement around an endless path through the developing machine 504a.

The carriage passes round the developing machine 504a in the direction of the arrows shown in Figure 8a. In the example described, five platens are  
20 accommodated on the developing machine 504a at any one time.

As described previously, the coating of the solid dosage forms is achieved electrostatically. It is advantageous that the powder material supply be below the

On the developing machine shown, there are four identical individual developers (not shown in Figures 8a and 8b, but shown schematically in Figure 12) and each carriage 802a passes smoothly over each developer in succession. There may,  
5 of course, be a different number of individual developers on the developing machine and this will depend on the particular application. In one embodiment there are two identical developers on each developing machine. In the embodiment shown, the dimensions of the developers are fixed and the fact that, in the example described, there are four individual developers on the developing  
10 machine 504a determines the appropriate speed of the carriages which allows the solid dosage forms 101 to be in the vicinity of the powder material supply for long enough to achieve the desired coating on the solid dosage forms 101. In this example, the maximum value of  $v$  is 25 mm/second and  $v$  may be selected to be any value up to that maximum. The maximum value of  $v$  may, of course, have  
15 a different value in alternative embodiments. The developers are located 500 mm apart so that a carriage passes the developer once every 20 seconds. For a given number of developers and developer spacing, varying the speed  $v$  will clearly give a different cycle time for the entire machine 501.

The carriages 802a move steadily past the developers at a constant speed,  $v$ ,  
20 which has to be appropriate for the particular coating process. Once the carriage has passed to the last developer, however, it is desirable that it is returned to the station  $Y_a$  as quickly as possible. To achieve this, the first developing machine

speed as the outer drive belt 805a and the carriage is transferred from the outer drive belt 805a back to the inner drive belt 804a. The inner drive belt 804a then increases in speed (to speed u) and moves the carriage through the return part of the developing machine circuit quickly to the station  $Y_a$  where the outer belt stops completely and the platen 201 can be transferred back to the coating apparatus 501 via the transfer table 801a. In this example, because the developer number and spacing is fixed, the operating speed of the apparatus provides that a platen moves forward by one station every 20 seconds. The outer drive belt 805a therefore completes a full circuit of the developing machine every 40 seconds.

10

It will be understood that there are two carriage positions on the inner drive belt 804a and that while the two drive belts are running at the same speed, a carriage is being transferred from the inner drive belt 804a to the outer drive belt 805a in the lower handover region 807a and simultaneously a carriage is being

15 transferred from the outer drive belt 805a to the inner drive belt 804a in the upper handover region 806a. Thus, at any one time, there are four carriages on the outer drive belt 805a and one carriage on the inner drive belt 804a. The transfer mechanism for transferring the carriages between the two belts in the upper and lower handover regions 806a, 807a is described in more detail with reference to  
20 Figures 9, 10, 11a and 11b.

Figure 9 is a perspective view of a belt flight 901. Two belt flights 901 are fixed to the inner drive belt 804a and are equally spaced from one another. Eight belt

second belt transfer block 1101 can be seen in the lower handover region 807a.

Each belt transfer block 1101 includes a belt transfer track 1102. Each carriage incorporates an inwardly projecting spike-like cam follower (not shown) which is arranged to engage in the belt transfer track 1102 as the carriage moves through  
5 the upper or lower handover regions 806a, 807a, In the lower handover region 807a, as the cam follower engages in the belt transfer track 1102, it moves laterally outward; in the upper handover region 806a, as the cam follower engages in the belt transfer track 1102, it moves laterally inward.

- 10 The movement of the carriage around the developing machine 504a is as follows. When the carriage is on the inner drive belt 804a, the belt transfer blade 1002 of the belt changer 1001 on the carriage is engaged with the U-shaped opening 903 on one of the belt flights 901 fixed to the inner drive belt 804a. Thus, the relative positions of the inner drive belt, the belt flight and the carriage are fixed. When  
15 the carriage is at the lower handover region 807a, the inner and outer drive belts 804a, 805a are temporarily moving at the same speed and a belt flight 901 on the outer drive belt 805a is aligned with a belt flight 901 on the inner drive belt 804a. The cam follower on the carriage engages in the belt transfer track 1102 on the belt transfer block 1101 in the lower handover region and this causes the belt  
20 transfer blade 1002 of the belt changer 1001 on the carriage to move laterally outward. As this occurs, the belt transfer blade 1002 moves from being engaged with the U-shaped opening 903 of the belt flight 901 on the inner drive belt 804a to being engaged with the U-shaped opening 903 of the belt flight 901 on the

lateral direction. As mentioned previously, the belt flights 901 are positioned on the outer drive belt 805a such that the outer wall 905 is on the outer side of the drive belt and the belt flights 901 are positioned on the inner drive belt 804a such that the outer wall 905 is on the inner side of the drive belt. Thus, the two outer  
5 walls 905 of belt flights 901 on the two drive belts define the limits of the path of the belt transfer blade 1002 as it moves between the belt flights 901.

As mentioned previously, the solid dosage forms 101 are secured by a vacuum supply to the platen 201 as the carriages pass over the developers. In fact, the  
10 carriages are connected to the vacuum supply via vacuum pipes 803a during the entire circuit of the developing machine 504a. The vacuum supply system must therefore rotate with the carriages 802a as they move around the developing machine 504a. This can be seen in Figure 8a. The main vacuum supply pipe 808a is fixed and the separate connector pipes 809a are rotatable with respect to  
15 the vacuum supply pipe 808a. As described above, the carriages do not move round the developing machine circuit at a constant speed. Therefore, the speed of rotation of the vacuum supply drive belt 810a is intermediate between the fastest speed of the inner drive belt 804a (speed  $u$  - when the carriages are between the upper and lower handover regions 806a, 807a) and the constant  
20 speed  $v$  of the outer drive belt 805a. In addition, the pipes (not shown) between the separate connector pipes 809a and the vacuum pipes 803a on each carriage are fairly slack to allow for this speed variation. As will be understood, the

for example, polyacrylates, for example polymethacrylates; polyesters; polyurethanes; polyamides, for example nylons; polyureas; polyvinylpyrrolidone and copolymers of vinylpyrrolidone with other suitable monomers, e.g. vinyl acetate; biodegradable polymers, for example polycaprolactones,

5 polyanhydrides, polylactides, polyglycolides, polyhydroxybutyrates and polyhydroxyvalerates; polysaccharides, for example cellulose esters; hydrophobic waxes and oils, for example vegetable oils and hydrogenated vegetable oils (saturated and unsaturated fatty acids), e.g. hydrogenated castor oil, carnauba wax, and beeswax. Other (non-CO-containing) fusible components

10 which may be present with or instead of CO-containing components are, for example, cellulose ethers; sugar alcohols, for example lactitol, sorbitol, xylitol, galactitol and maltitol; sugars, for example sucrose, dextrose, fructose, xylose and galactose; hydrophilic waxes; and polyethylene glycol. One or more fusible materials may be present. Preferred fusible materials generally function as a

15 binder for other components in the powder.

Examples of CO-containing polymer binders (also referred to as resins) include polyvinylpyrrolidone, hydroxypropyl methylcellulose phthalate, hydroxypropyl methylcellulose acetate succinate, and methacrylate polymers, for example an

20 ammonio-methacrylate copolymer, for example those sold under the name Eudragit. The use of such binders with, for example, xylitol or other sugar alcohol, for example to promote solubility when the polymer binder is insoluble, should especially be mentioned.

should be understood that operation of the fusing stations D, E and F is identical to the operation of fusing station C.

Figure 14 is a sectional view of the first fusing station C along the line XIV on

- 5 Figure 13. A platen 201 (containing half coated solid dosage forms (not shown)) is located at station C. The fuser 1301<sub>C</sub> containing a heat source (typically a ceramic element, not shown) is positioned at a distance x from the platen 201. In this example, x is 50 – 70 mm. The fuser 1301<sub>C</sub> is movable in the direction shown by the double headed arrow. Thus, x can be varied depending on the
- 10 particular solid dosage form and powder material. In addition, the fuser 1301<sub>C</sub> is biased to return to its top position (shown by dotted lines) i.e. where x is maximised. This means that, if there were a power failure, the fuser 1301<sub>C</sub> would automatically return to its top position ensuring that the solid dosage forms in the platen 201 would not burn. (If there were a power failure, the heat source on the
- 15 fuser would not cool immediately and the solid dosage forms may overheat as a result.)

A platen 201 moves forward to the next station every 20 seconds. As previously mentioned, it takes approximately 3 s to move the platen between stations.

- 20 Thus, the platen 201 is at fuser station C for 17 s, moving forward for 3 s, at fusing station D for 17 s, moving forward for 3 s and so on. Once the platen has passed through the entire first fusing station 505a, the powder material on the solid dosage form 101 is completely fused and the solid dosage form 101 is



Once the platen 201 of solid dosage forms 101 has passed through the entire first fusing station 505a, the solid dosage forms 101 are ready to be turned over so that the opposite sides of the solid dosage forms 101 can be coated. This is done in the inverting mechanism 506 which comprises stations G, H and I of the apparatus 501 and is shown in detail in Figures 15 and 16.

Figure 15 is a plan view of the inverting mechanism 506 and shows stations G, H and I. Figure 16 is a perspective view of stations G and H of the inverting mechanism.

10

Half coated solid dosage forms on a platen 201 enter station G from the last fusing station F. The platen 201 is secured in a first frame 1501. A second frame 1502 holding an empty platen 201 is located at station I. An empty platen is also located at station H. The first frame 1501 and the second frame 1502 are connected to an arcuate rail 1503 and are arranged to run on the arcuate rail so as to rotate about a horizontal axis, moving along a path in a vertical plane defined by the arcuate rail. As they rotate, the frames remain perpendicular to the arcuate rail (i.e. the frames always lie along the radial direction).

20 The steps of the inverting process are as follows:

- 1) The second frame 1502 containing an empty platen 201 rotates around the arcuate rail 1503 until it is located upside down at station G on the first frame 1501 which contains a platen 201 of half coated solid dosage forms.

Then steps 1) to 4) are repeated. In this process the empty platen which was at station H is used as the empty platen in the second frame 1502 at station I. The now empty platen which was in the first frame 1501 is now located at station H.

- 5 Once the inverting process has been completed once again, the platens are ready to move forward to the next station. At this point, a platen of half coated solid dosage forms moves from fusing station F to station G. The now empty platen in the first frame 1501 at station G moves forward to station H. The empty platen at station H (which was previously in the first frame 1501) moves forward
- 10 to station I. The platen of half coated solid dosage forms (which have just been turned over in the inverting mechanism) at station I moves forward to station J. Thus, a platen which is filled with half coated solid dosage forms and moves from station F to station G is reused two stations later as the empty platen for that inverting process.

15

- As mentioned previously, various platen designs are possible. In this example, the solid dosage forms have two domed end surfaces 103 and a circumferential surface 102. When the solid dosage forms are coated in the developing machine, all exposed surfaces are coated with the powder material. If it is
- 20 desired, for example, in the first developing machine 504a for only one domed end surface 103 to be coated and in the second developing machine 504b for both the other domed end surface 103 and the circumferential surface 102 to be coated, there must be different platen arrangements in each developing machine.

As an alternative to, or in addition to, varying the depths of the hollows 304, it is possible to alter the position of the shield 203 on the base 202 of the platen to alter the spacing of the shield from the base and obtain a different platen arrangement in that way.

Such a swapping mechanism may also be useful even when the platen design is the same on both sides of the machine 501. The empty platen at station H can be swapped for a second empty platen at station Z. This is useful so that the platen can be checked to ensure that all the half coated solid dosage forms have been successfully transferred in the inverting process. Any solid dosage forms remaining in the platen can be removed and the platen can be checked so that it is clean and ready to be reused. This checking and cleaning may be done automatically or manually.

15

As mentioned above, as the platens enter the coating apparatus, they are checked to ensure that each hollow 304 is filled with a solid dosage form. It is, of course, preferable that every hollow is filled with a solid dosage form because, since the platen base is earthed like the solid dosage forms, the powder material will be attracted onto the base itself and then may be fused onto the base. This should be avoided. Therefore, on entry to the coating apparatus, there is included a sensor which senses whether one or more hollows in a platen are empty. This sensor may be, for example, a light sensor or a camera, or any other type of

move the platen filled with half coated solid dosage forms to position  $Y_b'$  of the developing machine 504b and the platen filled with fully coated solid dosage forms onto position J' of the coating apparatus 501. The platen filled with fully coated solid dosage forms then moves laterally to station J while the platen filled with half coated solid dosage forms moves laterally to station  $Y_b$  in the developing machine. The platen filled with half coated solid dosage forms is ready to move through the second developing machine 504b whilst the platen filled with fully coated solid dosage forms is then ready to move to station K for fusing.

10

The second fusing region 505b comprises stations K, L, M and N on the apparatus 501. The second fusing region 505b is identical to the first developing machine 505a and will not be described in detail. Identical parts are designated by the same reference numerals with suffix b rather than suffix a.

15

Once the platen of solid dosage forms has passed through the entire second fusing region 505b, the solid dosage forms are fully coated and ready to exit the apparatus 501. The platen 201 moves from the last fusing station N back to station A. The transfer table 704 then rotates to move the platen filled with solid dosage forms onto station A of the apparatus 501 and the platen filled with coated solid dosage forms onto station X of the loading and unloading circuit 701. The platen filled with coated solid dosage forms then moves through the exit region 703 of the circuit 701, where the coated solid dosage forms are unloaded

20

Claims:

1. A method of electrostatically applying a powder material to substrates, the method including the steps of:
  - 5 placing the substrates on platens, each platen holding a plurality of substrates,  
conveying the platens in series along a path, and  
electrostatically applying a powder material to the substrates held on the platens.
- 10 2. A method according to claim 1, in which the platens are fixed to the path.
3. A method according to claim 2, further including the step of fusing the powder material after it is electrostatically applied.
- 15 4. A method according to claim 3, in which the fusing step comprises conveying the platens past a plurality of fusing devices arranged in series along the path.
- 20 5. A method according to claim 1, in which the platens are removable from the path.

removing the platens from the path at a second treatment station, applying powder material electrostatically to second faces of the substrates, and returning the platens to the path; and

conveying the platens returned from the second treatment station still  
5 further along the path.

11. A method according to any one of claims 5 to 10, further including the step of fusing the powder material after it is electrostatically applied.

10 12. A method according to claim 11, in which the fusing step comprises conveying the platens past a plurality of fusing devices arranged in series along the path.

13. A method according to claim 11 or claim 12, in which the step of fusing  
15 the powder material takes place after the platens are returned to the path.

14. A method according to claim 10, in which a first fusing step takes place when the returned platens are conveyed further along the path and prior to removing the platens from the path at the second treatment station, and a second  
20 fusing step takes place when the returned platens have been returned from the second treatment station and are conveyed still further along the path.

19. A method according to claim 18, in which the steps of decoupling the platens from the first drive mechanism and coupling the platens to the second drive mechanism takes place during the first phase of driving of the other of the first and second drive mechanisms.

20. A method according to claim 18 or claim 19, in which the other of the first and second drive mechanisms drives, during a second phase, at a speed  $u$ , where speed  $u$  is greater than speed  $v$ .

10

21. A method according to claim 20, in which the other of the first and second drive mechanisms drives, during a third phase, at zero speed.

22. A method according to claim 21, in which the steps of removing the platens from the path at the at least one treatment station and returning the platens to the path take place during the third phase of driving of the other of the first and second drive mechanisms.

23. A method according to claim 15, including, after the step of removing the platens from the path at the at least one treatment station and prior to the step of returning the platens to the path, the following steps:

operatively coupling the platens to the second drive mechanism while it is driving at zero speed,

26. A method according to claim 25, in which the face of the first platen faces upwardly when the face of the second platen is positioned adjacent to it, and the steps of releasing the plurality of substrates from the first platen and holding the released substrates on the face of the second platen are carried out at least partly by inverting the first and second platens.

27. A method according to claim 26, in which the inverting of the first and second platens is carried out by arcuate movement of the first and second platens around approximately half a revolution.

28. A method according to any one of claims 25 to 27, in which the step of releasing the plurality of substrates from the first platen includes vibrating the first platen.

29. A method according to any one of claims 25 to 27, in which the steps of releasing the plurality of substrates from the first platen and holding the released substrates on the face of the second platen includes vibrating the first and second platens in unison.

30. A method according to any one of claims 25 to 29, in which the first and second platens are substantially the same.



35. A method according to claim 34, in which the platen base is electrically conducting and an electric potential difference is established between the platen base and the platen shield during electrostatic application of the powder material to the substrates.

5

36. A method according to claim 34 or claim 35, in which the supports are connected to a low pressure source during at least part of the method to retain the substrates on the platens.

10 37. A method according to claim 36, in which the substrates are held on downwardly directed faces of the supports by the connection to the low pressure source during at least part of the method.

15 38. A method according to claim 37, in which the substrates are held on downwardly directed faces of the supports by the connection to the low pressure source during electrostatic application of the powder to the substrates.

39. A method according to any one of the preceding claims, in which the path along which the platens are conveyed is substantially horizontal.

20

40. A method according to any one of the preceding claims, in which the path along which the platens are conveyed is an endless path.

46. An apparatus according to claim 45, in which the at least one treatment station comprises an apparatus for electrostatically applying powder material to the substrates.

5 47. An apparatus according to claim 46, in which the apparatus further includes a fusing assembly for fusing powder material electrostatically applied to the substrates at the at least one treatment station.

48. An apparatus according to claim 47, in which the fusing assembly is  
10 provided on a part of the path along which the conveyor is arranged to convey the platens after they are returned from the at least one treatment station.

49. An apparatus according to claim 45, in which a first apparatus for applying powder material to a first face of each substrate is arranged to remove the  
15 platens from the conveying means, to apply powder material electrostatically to substrates held by the platens and to return the platens to the conveying means for conveying the platens further along the path and in which a second apparatus for applying powder material to a second face of each substrate is arranged to remove the platens from the conveying means, to apply powder material  
20 electrostatically to substrates held by the platens and to return the platens to the conveying means for conveying the platens further along the path.

55. An apparatus according to any one of claims 44 to 54, further including a loading station for loading the platens onto the conveyor.

56. An apparatus according to any one of claims 44 to 55, further including an  
5 unloading station for removing the platens from the conveyor.

57. An apparatus according to any one of claims 54 to 56, further including a platen transfer station for introducing platens to the conveyor and removing platens returning to the platen transfer station after they have been conveyed  
10 around the path.

58. An apparatus according to any one of claims 44 to 57, in which the platens are arranged to move a substantial distance vertically at said at least one treatment station.  
15

59. An apparatus according to any one of claims 44 to 58, further including a device for positioning an empty second platen with a face of the second platen adjacent to a face of a first platen holding a plurality of substrates on the exposed face of the first platen, for releasing the substrates from the first platen and  
20 holding the released substrates on the face of the second platen, and for separating the adjacent faces of the first and second platens.

66. An apparatus according to any one of claims 45 to 65, in which there is further provided at the at least one treatment station a drive arrangement for driving the platens through the treatment station at a varying speed, the drive arrangement comprising a first drive mechanism for driving the platens through a first portion of the treatment station, a second drive mechanism for driving the platens through a second portion of the treatment station and at least one transfer mechanism for decoupling the platens from one drive mechanism and coupling them to the other drive mechanism.
67. An apparatus according to claim 66, in which the first and second drive mechanisms comprise endless drive members.
68. An apparatus according to claim 67, in which the endless drive members are toothed drive belts.
69. An apparatus according to any one of claims 66 to 68, in which the first and second drive mechanisms are disposed along adjacent paths.
70. An apparatus according to any one of claims 66 to 69, in which one of the first and second drive mechanisms is arranged to operate at constant speed.
71. An apparatus according to claim 70, in which the other of the first and second drive mechanisms is arranged to operate at a variety of speeds.

applied, in which the fusing is carried out with infra-red radiation of wavelength in the range of from 3-6 $\mu$ m.

76. An apparatus according to any one of claims 44 to 75, in which each  
5 platen comprises a platen base having a plurality of supports for supporting a plurality of substrates; and an electrically conducting platen shield located on the platen base and having a plurality of holes arranged to align with the plurality of supports on the platen base.

10 77. An apparatus according to claim 76, in which the base of the platen is electrically conducting and an insulating coating is provided between the platen base and the platen shield.

78. An apparatus according to claim 76 or claim 77, in which an insulating  
15 coating is also provided on holes in the platen shield.

79. A platen according to claim 78, in which the insulating coating is provide  
by insulating rings located in the holes in the platen shield.

20 80. A platen according to claim 78, in which the insulating coating is integral with the platen.

releasing the plurality of substrates from the first platen and holding the released substrates on the face of the second platen,  
separating the adjacent faces of the first and second platens, and  
electrostatically applying powder material to exposed second faces of  
5 each of the plurality of substrates on the second platen.

85. A method according to claim 84, in which the face of the first platen faces upwardly when the face of the second platen is positioned adjacent to it, and the steps of releasing the plurality of substrates from the first platen and holding the  
10 released substrates on the face of the second platen are carried out at least partly by inverting the first and second platens.

86. A method according to claim 84 or claim 85, in which the steps of releasing the plurality of substrates from the first platen and holding the released  
15 substrates on the face of the second platen includes vibrating the first and second platens in unison.

87. An apparatus for electrostatically applying a powder material to substrates, the apparatus comprising:  
20 a series of platens, each platen being arranged to hold a plurality of substrates on a face of the platen,  
a conveyor for conveying the platens along a path,

91. A method of electrostatically applying a powder material to a plurality of substrates, the method including, in addition to the electrostatic application of powder material, the steps of
- placing the substrate on platens, each platen holding a plurality of
- 5 substrates,
- operatively coupling the platens to a first drive mechanism and transporting the platens by driving the first drive mechanism,
- decoupling the platens from the first drive mechanism, operatively coupling the platens to a second drive mechanism and transporting the platens
- 10 by driving the second drive mechanism, and
- decoupling the platens from the second drive mechanism.
92. A method according to claim 91, in which the first and second drive mechanisms are disposed along adjacent paths.
- 15
93. A method according to claim 91 or claim 92, in which the adjacent paths are endless paths.
94. A method according to claim 91, including the following steps:
- 20 operatively coupling the platens to the second drive mechanism while it is driving at zero speed,
- driving the platens with the second drive mechanism at a speed  $v$ ,

96. A method for fusing a powder coating on a substrate, in which fusing is carried out with infra-red radiation, characterised in that the wavelength of the radiation used corresponds to a significant peak present in the infra-red spectrum of the coating material but not present to any significant extent in the infra-red spectrum of the substrate.

97. A method for fusing a powder coating on a substrate, in which fusing is carried out with infra-red radiation of wavelength in the range of from 3-6 $\mu$ m.

10

98. An apparatus for fusing powder coating on a substrate, in which the apparatus is arranged to carry out the fusing with infra-red radiation, characterised in that the wavelength of the radiation used corresponds to a significant peak present in the infra-red spectrum of the coating material but not present to any significant extent in the infra-red spectrum of the substrate.

15

99. An apparatus for fusing powder coating on a substrate, in which the apparatus is arranged to carry out the fusing with infra-red radiation of wavelength in the range of from 3-6 $\mu$ m

20

100. A platen for holding a plurality of substrates to which powder material is to be electrostatically applied, the platen comprising:



106. A platen according to any one of claims 100 to 105, in which the supports are connectable to a common low pressure source for retaining the substrates on the supports.

- 5 107. A platen according to any one of claims 100 to 106, in which each support is defined by a respective hollow in a face of the platen base.

108. A platen according to claims 106 and 107, in which a passageway extends from each hollow for connecting the support to a low pressure source.

10

109. A platen assembly comprising a platen according to any one of claims 100 to 108 and a frame in which the platen is mounted, the platen being detachably connectable to the frame.

- 15 110. A platen assembly according to claim 109, in which the frame has a plurality of latching members that are movable between disengaged positions in which they are clear of the platen and engaged positions in which they engage in peripheral portions of the platen.



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Claims searched: 1-83 and 87-95

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## Patents Act 1977 : Search Report under Section 17

### Documents considered to be relevant:

Category	Relevant to claims	Identity of document and passage or figure of particular relevance
A	-	WO 02/49771 A1 (PHOQUS) Whole document relevant.
A	-	WO 96/35516 A1 (BERWIND) Whole document relevant.

### Categories:

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art.
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.

### Field of Search:

Search of GB, EP, WO & US patent documents classified in the following areas of the UKC<sup>v</sup>:

B2L

Worldwide search of patent documents classified in the following areas of the IPC<sup>7</sup>:

B05B B05C

The following online and other databases have been used in the preparation of this search report:

WPI, EPODOC, JAPIO